

Financial Adviser Profile



Overview

Prime Financial Planning was founded in May 2016 and remains in its original office in Ascot Vale. Stephen Theuma has been in the industry many years having begun his career in 2000.

Our client value proposition is summarised as follows:

“Understanding our clients’ current and future needs to provide them with a professional financial planning service. Offering a broad range of services so as to fulfil all of our client’s financial planning needs. Being a specialist in retirement planning, wealth accumulation and protection, aged care, and self-managed super funds”

We specialise in wealth generation and protection, pre-retirement, retirement planning and aged care advice.

Stephen Theuma is a Sub-Authorised Representative of Prime Financial Planning Pty Ltd, Corporate Authorised Representative No. 1241630. Authorised Representative No. 1003987.

Qualifications

Stephen Theuma holds a Bachelor of Business degree and a Diploma in Financial Planning. Stephen is also a Certified Financial Planner and meets the competency requirements under ASIC’s Regulatory Guide RG 146.

Professional Memberships

Stephen Theuma is a member of the FPA and abides by their code of professional conduct and ethics.

Authorisations

Stephen Theuma is authorised to provide advice and deal in the following financial products:

- Life Products including Investment Life Insurance Products & Life Risk Insurance Products;
- Interests in Managed Investment Schemes including Investor Directed Portfolio Services;
- Deposit & Payment Products;
- Retirement Savings Accounts (“RSA”) products;
- Debentures, Stocks or Bonds issued or proposed to be issued by a Government;
- Superannuation;
- Self-Managed Superannuation Funds;
- Securities; and
- Standard Margin Lending Facility.

Stephen Theuma

Prime Financial Planning

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Prime Financial Planning Advice Fees and Charges

Stephen Theuma will be paid by Financial Planning Advice Fees as described in the Financial Services Guide. The amount of the benefit and how it is calculated will be advised to you during your initial meeting.

Stephen Theuma fee for the preparation of a Statement of Advice and other Advice documents will vary depending on the complexity involved and the time taken. You will be notified of the costs involved prior to the commencement of any work.

Stephen Theuma provides the option of ongoing reporting and advisory services. You will be notified of the cost involved prior to the commencement of any ongoing services.

Prime Financial Planning pays a fixed licensing fee to Capstone Financial Planning Pty Ltd and will receive all revenue earned from the financial services provided to you. Stephen Theuma is a Director of Prime Financial Planning and will receive a benefit from this company.

Other Benefits Stephen Theuma May Receive

From time to time Stephen Theuma may be invited to social or sporting events and receive the occasional gift such as a bottle of wine or hamper on special occasions. These non-cash benefits will have a value of less than \$300. A register listing the details of any non-cash benefits between \$100 and \$300 is maintained. These invitations and gifts do not influence the advice provided to you. If you would like more information you can request a copy of the register.